

9-4-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

0109/94541

08/31/01

Attorney Docket No. 05306.P028
(maximum 12 characters)First Named Inventor Stephan BrunnerTitle: A METHOD TO GENERATE A CUSTOMIZABLE PRODUCT CONFIGURATORExpress Mail Label No. EL617178220USADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 37)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 8)**
5. **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME André M. Gibbs

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): André M. Gibbs Registration No.: 47,593

Signature: AM Date: August 31, 2001

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|---------------------|------------------------|--|
| Fee Code | Fee (\$) | Fee Code (\$) | |
| 105 | 130 | 205 | 65 |
| 127 | 50 | 227 | 25 |
| | | | Surcharge - late filing fee or oath |
| | | | Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | 130 |
| 147 | 2,520 | 147 | 2,520 |
| 112 | 920* | 112 | 920* |
| | | | Non-English specification |
| | | | For filing a request for ex parte reexamination |
| | | | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | 1,840* |
| | | | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 |
| 116 | 390 | 216 | 195 |
| 117 | 890 | 217 | 445 |
| 118 | 1,390 | 218 | 695 |
| 128 | 1,890 | 228 | 945 |
| 119 | 310 | 219 | 155 |
| 120 | 310 | 220 | 155 |
| 121 | 270 | 221 | 135 |
| 138 | 1,510 | 138 | 1,510 |
| 140 | 110 | 240 | 55 |
| 141 | 1,240 | 241 | 620 |
| 142 | 1,240 | 242 | 620 |
| 143 | 440 | 243 | 220 |
| 144 | 600 | 244 | 300 |
| 122 | 130 | 122 | 130 |
| 123 | 50 | 123 | 50 |
| 126 | 180 | 126 | 180 |
| 581 | 40 | 581 | 40 |
| | | | Petition to institute a public use proceeding |
| | | | Petition to revive – unavoidable |
| | | | Petition to revive - unintentional |
| | | | Utility issue fee (or reissue) |
| | | | Design issue fee |
| | | | Plant issue fee |
| | | | Petitions to the Commissioner |
| | | | Processing fee under 37 CFR 1.17(q) |
| | | | Submission of Information Disclosure Stmt |
| | | | Recording each patent assignment per property (times number of properties) |
| 146 | 710 | 246 | 355 |
| | | | For filing a submission after final rejection (see 37 CFR 1.129(a)) |
| 149 | 710 | 249 | 355 |
| | | | For each additional invention to be examined (see 37 CFR 1.129(b)) |
| 179 | 710 | 279 | 355 |
| 169 | 900 | 169 | 900 |
| | | | Request for Continued Examination (RCE) |
| | | | Request for expedited examination of a design application |
| 195 | 300 | 195 | 300 |
| | | | Publication fee for early, voluntary, or normal publication |
| 196 | 300 | 196 | 300 |
| | | | Publication fee for republication |
| 194 | 130 | 194 | 130 |
| 098 | 130 | 098 | 130 |
| 091 | 1,240 | 091 | 1,240 |
| | | | Request for voluntary publication or republication |
| | | | Processing fee under 37 CFR 1.17(i) |
| | | | Acceptance of unintentionally delayed claim for priority |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: André M. Gibbs

Signature: André M. Date: 8-31-07

Reg. Number: 47,593 Telephone Number: (408) 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor Stephan BrunnerTitle A METHOD TO GENERATE A CUSTOMIZABLE PRODUCT CONFIGURATORAttorney Docket No. 05306.P028

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

8/31/01

Date



Signature

André M. Gibbs

Typed or Printed Name

47,593

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL617178220US

Date of Deposit: 8/31/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

| | | | |
|---|---|----------------------|-------------------|
| Serial/Patent No.: | <u>Not Yet Assigned</u> | Filing/Issue Date: | <u>Herewith</u> |
| Client: | <u>Siebel Systems, Inc.</u> | | |
| Title: | <u>A METHOD TO GENERATE A CUSTOMIZABLE PRODUCT CONFIGURATOR</u> | | |
| bstz File No.: | <u>05306 P028</u> | Atty/Secty Initials: | <u>CMN/AMG/lm</u> |
| Date Mailed: | <u>August 31, 2001</u> | Docket Due Date: | <u>***</u> |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon: | | | |
| <input checked="" type="checkbox"/> Express Mail No.: <u>EL617178220US</u> <input checked="" type="checkbox"/> Check No. <u>44999</u> | | | |
| <input type="checkbox"/> Month(s) Extension of Time <input type="checkbox"/> Check No. <u>\$ 1,212.00</u> | | | |
| <input type="checkbox"/> Information Disclosure Statement & PTO-144 (<u> </u> pgs.) <input type="checkbox"/> Amt: _____ | | | |
| <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Petition for <input type="checkbox"/> _____ | | | |
| <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Power of Attorney (<u> </u> pgs.) <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Preliminary Amendment (<u> </u> pgs.) <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Reply Brief (<u> </u> pgs.) <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/> _____ | | | |
| <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input type="checkbox"/> _____ | | | |
| <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <input type="checkbox"/> _____ | | | |
| <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <input type="checkbox"/> _____ | | | |
| <input checked="" type="checkbox"/> Other: <u>Application Data Sheet (3pgs)</u> | | | |
| <input checked="" type="checkbox"/> Request for Non-Publication (1pg) | | | |